

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Toshiaki Mori et al.

Ser. No.: 10/044,349

Group Art Unit: 2652

Filed: January 11, 2002

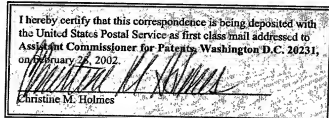
Examiner: Not Assigned

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DISC PLAYBACK SYSTEM AND DISPLAY UNIT



Assistant Commissioner for Patents
Washington, DC 20231



TRANSMITTAL OF SUBSTITUTE SPECIFICATION
(37 C.F.R. § 1.125)

NOTE: A substitute specification, excluding the claims, may be filed at any point up to payment of the issue fee if it is accompanied by items indicated below. See 37 C.F.R. section 1.125(b).

1. Enclosed is a substitute specification for the originally filed specification in this application.

(complete the following applicable item)

- ☒ This substitute specification is submitted, in response to Notice to File Missing Parts of Nonprovisional Application mailed February 6, 2002.

OR

- ☐ This substitute specification is being voluntarily submitted, in order to facilitate the processing of the application.

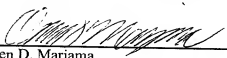
2. Also enclosed is a marked-up copy of the substitute specification showing the matter being added to and the matter being deleted from the specification.
3. Accompanying this transmittal is a statement, as required by 37 C.F.R. section 1.125, that the substitute specification transmitted herewith contains no new matter.

Respectfully submitted,

WALL MARJAMA & BILINSKI LLP

February 28, 2002

Date


Owen D. Marjama
Reg. No. 22,818

ODM/cmh
Telephone: (315) 425-9000

Customer No.:



20874

PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Toshiaki Mori et al.

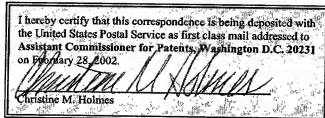
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For: DISC PLAYBACK SYSTEM AND DISPLAY UNIT

Assistant Commissioner for Patents
Washington, DC 20231STATEMENT THAT SUBSTITUTE SPECIFICATION
CONTAINS NO NEW MATTER (37 C.F.R. § 1.125)

1. Identification of person making this statement

Owen D. Marjama
Wall Marjama & Bilinski LLP
101 South Salina Street, Suite 400
Syracuse, New York 13202

The person making this statement is:

(complete applicable item)

- ☐ the inventor in this application.
☒ the attorney in this application, Registration Number 22,818
☐ other (indicate relationship)

2. Statement

I hereby state that the accompanying substitute specification contains no new matter over that contained in the above-identified application originally filed.

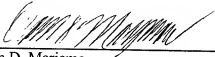
I further state that the changes made are the same as indicated in the inter-lineated original specification also accompanying this declaration.

Respectfully submitted,

WALL MARJAMA & BILINSKI LLP

February 28, 2002

Date


Owen D. Marjama
Reg. No. 22,818ODM/cmh
Phone: (315) 425-9000

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Practitioner's Docket No.: 967_024

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Toshiaki Mori, Susumu Ibaraki, Hiroshi Hamasaki, Noboru Katta,
Toshihiko Kurosaki and Akihiro Yamamoto

Serial No.: 10/044,349

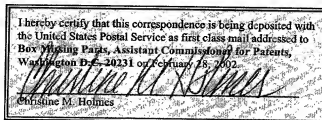
Art Unit: 2652

Filed: January 11, 2002

Examiner: Not Assigned

For: DISC PLAYBACK SYSTEM AND DISPLAY UNIT

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231



COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION

(check and complete this item, if applicable)

- I. ☒ This reply to the Notice to File Missing Parts of Nonprovisional Application mailed February 6, 2002.
- ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.

DECLARATION OR OATH

- II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OTHER PAPERS ENCLOSED

- III. ☐ A Preliminary Amendment canceling claims without prejudice or disclaimer
- ☒ Assignment with Assignment Transmittal
- ☒ Transmittal of Substitute Specification
- ☒ Statement that Substitute Specification contains no new Matter
- ☒ Substitute Specification

TRANSMITTAL OF ENGLISH TRANSLATION
OF NON-ENGLISH LANGUAGE PAPERS

- IV. ☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

SMALL ENTITY STATUS

V. ☐ A statement that this filing is by a small entity is attached.

☐ A separate refund request accompanies this paper.

☐ was filed on _____ (original).

COMPLETION FEES

VI. **WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.

NOTE: For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. 1.28(a).

1. Filing Fee
☐ Original Patent Application \$ _____ .00
(37 C.F.R. 1.16(a) --\$890.00, Small Entity--\$345.00)
 2. Fees for Claims (Claims; Indep.)
☐ each independent claim in excess of 3 \$ _____ .00
(37 C.F.R. 1.16(b) --\$78.00; small entity--\$39.00)
☐ each claim in excess of 20 \$ _____ .00
(37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00)
☐ multiple dependent claim(s) \$ _____ .00
(37 C.F.R. 1.16(d) --\$280.00; small entity--\$130.00)
 3. Surcharge fees
☐ late payment of filing fee \$ _____ .00
(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)
- and/or
- ☒ late filing of original declaration or oath \$ 130.00
(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)
- NOTE** If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e)
4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$ _____ .00
(37 C.F.R. 1.17(i) and 1.47--\$130.00)
 5. ☐ Fee for processing an application filed with a specification in a non-English language \$ _____ .00
(37 C.F.R. 1.17(k) and 1.52(d) --\$130.00)
 6. ☒ Assignment Fee \$ 40.00

Total Completion Fees \$ 170.00

EXTENSION OF TIME

VII. *(complete (a) or (b), as applicable)*

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)(1)-(4), for the total number of months checked below:

Extension (Months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> One Month	\$ 110.00	\$ 55.00
<input type="checkbox"/> Two Months	\$ 380.00	\$ 190.00
<input type="checkbox"/> Three Months	\$ 870.00	\$ 435.00

Extension Fee

\$ 00.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured, and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 00.00

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VIII.

The total fee due is:	Completion fee(s)	\$170.00
	Extension fee (if any)	\$ <u>.00</u>
	Total Fee Due	\$170.00

PAYMENT OF FEES

- IX. ☒ Enclosed is a check in the amount of \$170.00.
☐ Charge Account No. _____ in the amount of \$ _____. A duplicate of this request is attached.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES OR
CREDIT OVERPAYMENT**

- X. [x] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289. A duplicate copy of this sheet is enclosed.
- [x] Any additional filing fees required under 37 CFR 1.16.
- [x] Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

WALL MARJAMA & BILINSKI LLP



Owen D. Marjama
Reg. No. 22,818

February 28, 2002

Date

ODM/cmh
Phone: (315) 425-9000

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/044,349	01/11/2002	Mori Toshiaki	967_024

CONFIRMATION NO. 8193

FORMALITIES LETTER



OC00000007431157

20874
WALL MARJAMA & BILINSKI
101 SOUTH SALINA STREET
SUITE 400
SYRACUSE, NY 13202

Date Mailed: 02/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

Karla Quinteros

Customer Service Center

03/13/2002 14:05
01 FILED

